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DEFUKE THE ARIZONA CORPORATION COMMISSIES EIVED 1 2 WILLIAM A.MUNDELL **CHAIRMAN** 3 JIM IRVIN COMMISSIONER 4 MARC SPITZER **COMMISSIONER** 5 6 IN THE MATTER OF: 7 **DAVID NUTTER** CRD #2346911 8 5353 N. 16th Street, #260 Phoenix, Arizona 85016

SERVICES L.L.C. 5353 N. 16th Street, #260

Phoenix, Arizona 85016,

DOCKET NO. S-03463A-01-0000

Arizona Corporation Commission DOCKETED

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AZ CORP COMMISSION

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SECOND PROCEDURAL ORDER

Respondents.

BY THE COMMISSION:

NORTH AMERICAN INSURANCE

On August 14, 2001, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing Regarding Proposed Order to Cease and Desist, for Restitution, for Administrative Penalties, and for Other Affirmative Action ("Notice") against David Nutter and North American Insurance Services L.L.C. (collectively the "Respondents") in which the Division alleged that Respondents have engaged in acts, practices, and transactions that constitute violations of the Arizona Securities Act ("Act").

Respondents were duly served with copies of the Notice.

On August 22, 2001, Respondents filed a request for a hearing.

On August 29, 2001, the Commission issued a Procedural Order that set this matter for a prehearing on September 17, 2001.

On September 17, 2001, the pre-hearing was held as scheduled. Respondents appeared with

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1	the assistance of counsel. The Division also appeared with counsel. Following discussions between
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3	the parties, it was agreed that a hearing should be scheduled on the issues raised in the Notice on
4	January 16, 2002. In the interim, the parties agreed to attempt to resolve the issues raised in the
5	Notice.
6	Accordingly, a hearing should be scheduled to address the issues raised in the Notice and the
7	presentation of evidence.
8	IT IS THEREFORE ORDERED that a hearing on the above-captioned matter shall be held on
10	January 16, 2002, at 9:00 a.m., at the Commission's offices, 1200 West Washington Street, Phoenix,
11	Arizona.
12	IT IS FURTHER ORDERED that the parties shall exchange witness lists and exhibits not
13	later than 10 days before the hearing and provide a copy of same to the presiding Administrative Law
14	Judge.
15	DATED this day of September, 2001.
16	DATED this <u>fa</u> day of September, 2001.
17 18	
19	111)
20	PHILIP J. DION III ADMINISTRATIVE LAW JUDGE
2122	Copies of the foregoing mailed/delivered this day of September, 2001.
23	Richard G. Himelrick
24	TIFFANY & BOSCO, P.A. 1850 North Central Avenue, 5 th Floor
25	Phoenix, Arizona 85004 Attorney for Respondents
26	Mark Sendrow, Director Securities Division
2728	Arizona Corporation Commission 1200 W. Washington Phoenix, AZ 85007

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4	Phoenix, Arizona 85007
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6	Phoenix, Arizona 85004-1104
7	I MARIO
8	By: VVVVVVVVVVVVVVVVVVVVVVVVVVVVVVVVVVVV
9	Secretary to Philip J. Dion, III
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